Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-20**

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 05-OCT-2020 14:13:14

			Page 1	Of 3
S.No.	Head of Account	Value in		Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	410,522	410,522	
1.2	Intangible Assets Investment in Govt. Securities	1,690,000	1,690,000	
1.4	Investment in Debt. Securities			
	If listed than:			
	 i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. 			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	20,892,035	6,000,911	14,891,124
	respective securities whichever is higher.	20,892,035	6,000,911	14,691,124
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	4 705 000	4 705 000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	4,795,000	4,795,000	
1.9	Margin deposits with exchange and clearing house.	4,944,285	0	4,944,285
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	14,458,546	14,458,546	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	 i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	6,306,024	0	6,306,024
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	31,621	22,444	22,444
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.			
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	44,594,359	0	44,594,359
	ii. Bank balance-customer accounts	4,793,695	0	4,793,695
	iii. Cash in hand	13,830	0	13,830

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-20**

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 05-OCT-2020 14:13:14

Differ Disk of Account Value 6: Part OL/L Park Ruppe Ref. Park Park 11 Total Assets 102.062.017 21.000		Submission Date 03-OCT-2020 14.13.14		Page	2 Of 3
2 Tudo Payables 1 2.1 Tudo Payables 1 2.2 Tudo Payables 1 2.3 Tudo Payables 1 2.4 Tudo Payables 1 2.4 Tudo Payables 1 2.5 Control Lobalities 1 2.5 Control Lobalities 2 2.5 Control Lobalities 2 3.5 Control Payables 2 4.6 Control Payables 2 4.7 Control Payables 2 4.6 Control Payables 2 4.7 Control Payables 2 5.6 Control Payables 2 6.7 Control Payables 2 7.8 Control Payables 2 7.9 Control Payables 2	S.No.	Head of Account			
2 Index Payables	1.19	Total Assets	102,929,917	27,377,423	75,565,761
In Pryotic User-International Closers 4,798,696 4,798,696 2.3 Lippable to customer 4,798,696 4,798,696 4,798,696 2.3 Listing and regulatory dues 2,4 3,4 2,4 3,4	2	Liabilities			
In Psychole registering theorem products 4	2.1				
Image: Provide to construct table 4788.865 0 4788.865 2 Current Liabilities 246.400 0 246.400 1 Statutory and regulatory date 246.400 0 24.889.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 0 24.989.92 <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
22 Current Liabilities 26.490 0 24 Locata and other payties 26.490 0 24.680 0 25 Excerning Location 21.680.82 0 24.680 0 24.680 0 24.680 0 24.680 0 24.680.82 0 <td></td> <td></td> <td>4,793,695</td> <td>0</td> <td>4,793,695</td>			4,793,695	0	4,793,695
I. Accusal and other parallels 246.480 0 246.483 II. Sorul from borowing 24.588.392 0 24.588.392 V. Current Lobition for balance 0 24.588.392 0 24.588	2.2	Current Liabilities	, ,		,,
II. Stati-tum borewing 24.568.882 0 24.568.882 II. Stati-tum borewing 24.568.882 0 24.568.82 II. Control problem of the bad debb 0 0 0 III. Problem of the bad debb 0 0 0 III. Control III. Bab debb 0 0 0 0 III. Control III. Bab debb 0 0 0 0 0 III. Control III. Bab debb 0 <td></td> <td></td> <td></td> <td></td> <td></td>					
b. Carent portion of subordinated bars					
V. Curent patient of long term liabilities			24,300,902	0	24,300,902
will Provision for lasaid Image: State of the state of t					
will Providen for leastion Image: constraint principles and included in the financial statements 23 Non-Current Liabilities Image: constraint principles and included in the financial statements Image: constraint principles and includes adents finance least infance le					
23 Non-Current Liabilities Image: Second Secon					
Long-Term Tinancing					
a Long-term financing obtained from financial nativulance leave.	2.3				
a financial institution including amount due against finance lease					
b. Other Long-term financing					
III. Advance against shares for Increase in Capital of Securities torker. 100% halicut may be allowed in respect of advance against shares is: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directs of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been completed. c. Relevant Regulatory approvals have been completed. e. Auditor is satisfied that such advance is against the increase of capital c. Auditor is satisfied that such advance is against the increase of capital. 1. to 05 of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: increase in capital advance is against subordinated Loans which fulfill the conditions appecified: a. Lan againment must be executed on stamp paper and must clearly reflect the amount to be repaid able to be obtained loans which do not fulfill the conditions specified by SECP increase of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Statement must be submitted to exchange. 2.5 Total Liabilities see adains to a clearly specified by SECP is subordinated clearly the adagraphic state state state state and the exchange. 3.1 Concentration in Margin Financing and borrowing. increase of adains adains. 3.2 Concentration in Margin Financing and borrowing. increase adains adains adains adains. 3.		b. Other long-term financing			
isepect of addimace against shares if: is the existing authorized share capital allows the proposed enhanced share capital is. Boad of Directors of the company has approved the increase in capital is boad of Directors of the company has approved the increase in capital is. Boad of Directors of the company has approved the increase in capital is the existing authorized share capital allows the proposed and all regulatory requirements is. Authorized the company has approved the increase in capital is the existing authorized share capital allows the proposed enhanced share capital is. Other liabilities approved the increase in capital is the existing authorized share capital allows the proposed enhanced share capital iv. Other liabilities approved the increase and included in the financial statements. is iv. Other liabilities approved the increase and must clearly reflect the amount to be deducted: is the conditions specified by SECP. In this regard, following conditions are specified: is a. Loan agreement must be executed to exchange. is is. Subordinated lower against short term parties and must clearly reflect the amount to be repaid at the repaid allower against short term which is repayable within next 12 months. is is. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Capital timement must be subcritted to exchange. is 25 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. Inere is no unreasonable idely in its event of the capital nucleose of capital c. Relevant Regulatory approvals have been completed. e. Audito is satisfied that such advance is against the increase of capital w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and included in the financial statements w. Other liabilities are paracounting principles and must clearly reflect the amount to be repaid after 1 wonts of reporting period a. Loss of early repaired and adjustment stabel be made to the Liquid Capital statement must be submitted to exchange. if. Subordinated cleans which do not fulfill the conditions specified by SECP 29. Total Liabilities Relating to : 29. Concentration in Margin Financing The amount calculated cleant-to- client basis by which any amount receivable from tany of the finances exceed the the aggregate of: (1) Amount deposited by the borower with MCCPL (1) Cash margins paid and (1) The camount by which the aggregate of: (1) Amount deposited by the borower with MCCPL					
C. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in tixe of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advances against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements i. 100% of Subordinated Loans i. 100% of Subordinated Loans i. 100% of Subordinated to ans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule III provides that 10% half uncertained and subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haicut will be allowed against subrit and revised Liquid Capital statement must be substituted to exchange i. Subordinated loans which do not fulfil the conditions specified by SECP i. Subordinated loans which do not fulfil the conditions specified by SECP i. Subordinated cleant-to-clear bas by which any amount receivable from any of the finances exceed 10% of the aggregate of i. Subordinated cleart-to-clear bas by which any amount receivable from any of the finances exceed 10% of the aggregate of. () Amount deposited by the Dorwwing The amount acculates lending and borrowing The amount acculates pledided as margins exceed the 110% of the market value of shares borowed ii. Our deposited of securities less than or equal to the subscription price: the aggregate of. () Amount deposited of securities is less than or equal to the subscription price. The aggregate of. () The tase of right issue: If the market value of securities. in the case of right issues where the market price of securities is greater than the subscription price. The aggrega		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no urreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Increase and the increase of capital. 2.4 Subordinated Loans Increase of capital. Increase of capital. 1.100% of subordinated Loans which fulfill the conditions specified const which fulfill the conditions specified by SECP. In this regard, following conditions are specified. Increase a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of repointing period. Increase of the increase of the increase of the increase of capital. 2.5 Total Liabilities. Increase of the increa					
relating to the increase in paid up capital have been completed.					
iv. Other liabilities are accounting principles and included in the financial statements		relating to the increase in paid up capital have been completed.			
2.4 Subordinated Loans		e. Auditor is satisfied that such advance is against the increase of capital.			
1.100% of Subordinated loars which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haiccut will be allowed against subordinated Loars which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loar agreement must be executed on stamp paper and must cleady reflect the amount to be repaid after 12 months of reporting period. b. No haiccut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subscripted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 25. Total Liabilities Relating to : 3.1 Concentration in Margin Financing The amount calculated cilent-to- cilent basis by which any amount receivable from any of the finances seceed 1% of the aggregate of amounts receivable from total finances. 3.2 Concentration in Securities lending and borrowing The amount by which the aggregate of: () Amount deposited by the borrower with NCCPL (ii) He market value of securities in pledged as margins exceed the 110% of the market value of shares borrowed () Amount deposited by the underwriting commitments and (iii) He market value of securities is less than or equal to the subscription price. 5% of the Harcut multiplied by the underwriting commitments and (i) the vasket ply which the underwriting commitments and	2.4				
3 Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to :	2.5	Total Liabilitas	29 629 167	0	29 629 167
3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Amount deposited by the borrower with NCCPL (i) Amount deposited by the borrower with NCCPL (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 5 3.3 Net underwriting Commitments (a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the solw of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. (i) the total asset of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) (exceed the total liabilities of the subsidiary) exceed the total liabilities of the subsidiary (excluding currency positions (f) the net posite of the			20,020,101		20,020,101
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	3.1				
3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the toxic of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price; 5% of the haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary exceed the total lassets of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 3.6 Armount Payable under REPO		The amount calculated client-to- client basis by which any amount receivable from any of the			
The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (a) In the case of right issue : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) In the cal assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (a) In the regeneration in foreign currency positions (b) In any other case: 12.5% of the net subsidiary 3.5 Foreign exchange agreements and foreign currency positions (c) In the subsidiary (c) In the subsidiary 3.5 Foreign exchange agreements and foreign currency means the difference of total assets	3.2				
(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments (c) (b) in any other case: 12.5% of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.4 Negative equity of subsidiary meanount by which the total assets of new subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary staff of the net position in foreign currency positions 5% of the net position in foreign currency less total liabilities denominated in foreign currency 3.6 Amount P	3.2				
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares iiii) 3.3 Net underwriting Commitments iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		(i) Amount deposited by the borrower with NCCPL			
borowed Net underwriting Commitments 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary sect denominated in foreign currency positions 5% of the net position in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments (a) in the case of the subsidiary 3.4 Negative equity of subsidiary (a) in the case of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) (b) in any other case agreements and foreign currency positions 3.5 Foreign exchange agreements and foreign currency positions (b) in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO (a) in foreign currency less total liabilities denominated in foreign currency					
price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Commitment is the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary Image: Commitment is the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) Image: Commitment is the case of total assets of the subsidiary (excluding any amount due from the subsidiary) 3.5 Foreign exchange agreements and foreign currency positions Image: Commitment is the case of total assets denominated in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO Image: Commitment is the case of total asset is total liabilities denominated in foreign currency	3.3	Net underwriting Commitments			
(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary) asset denominated in foreign currency positions (a) in any other case : 12.5% of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions (b) in any other case : 12.5% of the subsidiary 3.5 Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency (b) in any other REPO					
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
the Haircut multiplied by the net underwriting intervent (b) in any other case : 12.5% of the net underwriting commitments intervent 3.4 Negative equity of subsidiary intervent The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) intervent exceed the total liabilities of the subsidiary intervent 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
(b) in any other case : 12.5% of the net underwriting commitments Image: Commitment in the image: Co					
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		the Halicul multiplied by the net underwriting commitments			
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: Constraint of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Constraint of the subsidiary 3.6 Amount Payable under REPO Image: Constraint of the subsidiary	3.4				
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	2 5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.5				
3.6 Amount Payable under REPO		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.7 Kepo adjustment		Amount Payable under REPO			
	5./	repo aajustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-20**

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 05-OCT-2020 14:13:14

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	277,475	277,475
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	376,860	376,860	376,860
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	3,978,061	0	
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	4,354,921	654,335	654,335
3.12	Liquid Capital	68,945,829	26,723,088	45,282,259